

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

THIS PAGE BLANK (USPTO)

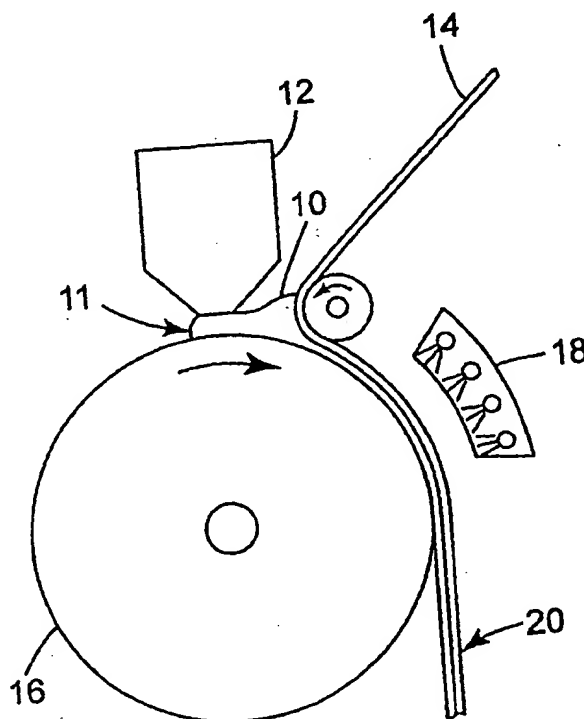


## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup>:</b> <b>B29C 59/04, 39/14, 43/22</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 99/65664</b> <b>(43) International Publication Date:</b> 23 December 1999 (23.12.99)
<b>(21) International Application Number:</b> PCT/US99/11024 <b>(22) International Filing Date:</b> 18 May 1999 (18.05.99)  <b>(30) Priority Data:</b> 09/099,562      18 June 1998 (18.06.98)      US  <b>(71) Applicant:</b> 3M INNOVATIVE PROPERTIES COMPANY [US/US]; 3M Center, P.O. Box 33427, Saint Paul, MN 55133-3427 (US).  <b>(72) Inventors:</b> BENTSEN, James, G.; P.O. Box 33427, Saint Paul, MN 55133-3427 (US). JOHNSTON, Raymond, P.; P.O. Box 33427, Saint Paul, MN 55133-3427 (US). BIERNATH, Rolf, W.; P.O. Box 33427, Saint Paul, MN 55133-3427 (US). POIRIER, Richard, J.; P.O. Box 33427, Saint Paul, MN 55133-3427 (US).  <b>(74) Agents:</b> ROGERS, James, A. et al.; 3M Innovative Properties Company, Office of Intellectual Property Counsel, P.O. Box 33427, Saint Paul, MN 55133-3427 (US).		<b>(81) Designated States:</b> AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>

**(54) Title:** MICROFLUIDIC ARTICLES AND METHOD OF MANUFACTURING SAME**(57) Abstract**

A process for preparing a molded article that includes: (a) bringing a moldable material (10) and an open molding tool (16) comprising a molding surface into line contact with each other to imprint a microfluid processing architecture pattern onto the moldable material (10) and thereby form a molded article; and (b) separating the molded article from said molding surface. The invention also features various microfluid processing architecture-bearing, polymeric articles.



**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon		Republic of Korea	PT	Portugal		
CN	China	KR	Republic of Korea	RO	Romania		
CU	Cuba	KZ	Kazakstan	RU	Russian Federation		
CZ	Czech Republic	LC	Saint Lucia	SD	Sudan		
DE	Germany	LI	Liechtenstein	SE	Sweden		
DK	Denmark	LK	Sri Lanka	SG	Singapore		
EE	Estonia	LR	Liberia				

## MICROFLUIDIC ARTICLES AND METHOD OF MANUFACTURING SAME

5           This invention relates to microfluidic articles and methods of  
--- manufacturing same.

          There has been a drive towards reducing the size of instrumentation  
used for analyzing and otherwise manipulating fluid samples such as biological  
fluid samples. The reduced size offers several advantages, including the ability to  
10   analyze very small samples, increased analytical speed, the ability to use reduced  
amounts of reagents, and reduced overall cost.

          Various devices for microfluid applications have been proposed. These  
devices typically include a glass or silicon substrate having a lithographically  
patterned and etched surface provided with one or more structures forming a  
15   microfluid processing architecture. Plastic substrates such as polyimides,  
polyesters, and polycarbonates have been proposed as well.

          There is a need for polymer-based microfluidic articles that can be  
produced efficiently in commercial-scale quantities, e.g., in the form of a roll good,  
and that can be selectively tailored to perform a variety of functions, including  
20   analytical functions. Accordingly, in a first aspect the invention features a process  
for preparing a molded article that includes bringing a moldable material and the  
surface of an open molding tool into line contact with each other to imprint a  
microfluid processing architecture onto the moldable material. The resulting  
molded article is then separated from the molding surface of the tool.

25           A "microfluid processing architecture" refers to one or more fluid-  
processing structures arranged in a pre-determined, self-contained pattern.  
Preferably, the architecture includes at least one structure having a dimension no  
greater than about 1000 micrometers. Moreover, fluid preferably enters and exits  
the architecture in the z-direction (i.e., the direction perpendicular to the plane of  
30   the architecture). For purposes of this invention, examples of suitable microfluid  
processing architectures include structures selected from the group consisting of  
microchannels, fluid reservoirs, sample handling regions, and combinations  
thereof.

An "open molding tool" is a molding tool that lacks a sealed cavity found in closed molds, e.g., of the type used in injection molding.

By "line contact" it is meant that the point at which the tool contacts the moldable material is defined by a line that moves relative to both the tool and the  
5 moldable material.

In one embodiment, the moldable material is an embossable polymeric substrate. The microfluid processing architecture pattern is embossed onto the surface of the polymeric substrate to create the molded article.

In another embodiment, the moldable material is a flowable resin  
10 composition. One example of such a composition is a curable resin composition, in which case the process includes exposing the composition to thermal or actinic radiation prior to separating the molded article from the molding surface to cure the composition. As used herein, "cure" and "curable resin composition" include crosslinking an already-polymerized resin, as well as polymerizing a monomeric or  
15 oligomeric composition, the product of which is not necessarily a crosslinked thermoset resin. An example of a preferred curable resin composition is a photopolymerizable composition which is cured by exposing the composition to actinic radiation while in contact with the molding surface.

Another example of a flowable resin composition is a molten  
20 thermoplastic composition which is cooled while in contact with the molding surface to solidify it.

There are two preferred molding processes in the case where the moldable material is a flowable resin composition. According to one preferred process, the flowable resin composition is introduced onto a major surface of a  
25 polymeric substrate, and the substrate and molding tool are moved relative to each other to bring the tool and flowable resin composition into line contact with each other. The net result is a two-layer structure in which a microfluid processing architecture-bearing layer is integrally bonded to the polymeric substrate.

A second preferred molding process where the moldable material is a  
30 flowable resin composition involves introducing the flowable resin composition onto the molding surface of the molding tool. A separate polymeric substrate may be combined with the flowable resin composition to create a two-layer structure in

which a microfluid processing architecture-bearing substrate is integrally bonded to the polymeric substrate.

A substrate may be bonded to the molded article to form a cover layer overlying the microfluid processing architecture. Preferably, the substrate is a polymeric substrate. The molded article may also be provided with one or more microelectronic elements, microoptical elements, and/or micromechanical elements. These microelements may be incorporated in a variety of ways, illustrating the flexibility of the overall process. For example, where the moldable material is an embossable polymeric substrate, that substrate may include the microelements. Where the moldable material is a flowable resin composition and the process involves combining the resin composition with a polymeric substrate during molding, that polymeric substrate may include the microelements. It is also possible to include the microelements in the cover layer. The microelements may also be provided in the form of a separate substrate (preferably a polymeric substrate) that is bonded to the molded article.

The process is preferably designed to operate as a continuous process. Accordingly, moldable material is continuously introduced into a molding zone defined by the molding tool, and the molding tool is continuously brought into line contact with the moldable material to create a plurality of microfluid processing architectures. Preferably, the continuous process yields the article in the form of a roll that includes a plurality of microfluid processing architectures. The roll can be used as is or can be divided subsequently into multiple individual devices. Additional polymeric substrates can be continuously bonded to the article. Examples include cover layers and layers bearing microelectronic, microoptical, and/or micromechanical elements.

In a second aspect, the invention features an article that includes (A) a first non-elastic, polymeric substrate having a first major surface that includes a microfluid processing architecture (as defined above), and a second major surface; and (B) a second polymeric substrate that is integrally bonded to the second major surface of the first substrate. The second substrate is capable of forming a free-standing substrate in the absence of the first substrate. It provides mechanical support for the first substrate and also provides a means for incorporating

additional features into the article such as microelectronic, microoptical, and/or micromechanical elements, thereby providing design flexibility.

5 A "non-elastic" material is a material having insufficient elasticity in the z-direction (i.e., the direction normal to the plane of the substrate) to act as a pump or valve when subjected to a cyclically varying force in the z-direction.

"Integrally bonded" means that the two substrates are bonded directly to each other, as opposed to being bonded through an intermediate material such as an adhesive.

10 The article preferably includes a cover layer overlying the microfluid processing architecture. The cover layer, which may be bonded to the first surface of the first substrate, preferably is a polymeric layer.

The article preferably includes one or more microelectronic, microoptical, and/or micromechanical elements. The microelements may be included in the first substrate, the second substrate, a polymeric cover layer, or a  
15 combination thereof.

In a third aspect, the invention features an article in the form of a roll that includes a first polymeric substrate having a first major surface that includes a plurality of discrete microfluid processing architectures (as defined above), and a second major surface. The article preferably includes a second polymeric substrate  
20 integrally bonded (as defined above) to the second major surface of the first substrate. The second substrate is capable of forming a free-standing substrate in the absence of the first substrate.

The article preferably includes a polymeric cover layer bonded to the first major surface of the first substrate.

25 The article preferably includes one or more microelectronic, microoptical, and/or micromechanical elements. The microelements may be included in the first substrate, the second substrate, a polymeric cover layer, or a combination thereof.

In a fourth aspect, the invention features an article that includes (A) a  
30 first polymeric substrate having a first major surface that includes a microfluid processing architecture (as defined above), and a second major surface; and (B) a second polymeric substrate. The second substrate has a first major surface that is



integrally bonded (as defined above) to the second major surface of the first substrate, and a second major surface that includes one or more microelectronic elements and a via extending between the first and second major surfaces of the second substrate. The second substrate is capable of forming a free-standing  
5 substrate in the absence of the first substrate.

In a fifth aspect, the invention features an article that includes a first polymeric substrate having a first major surface that includes a microfluid processing architecture (as defined above), and a second major surface that includes one or more microelectronic elements and a via extending between the  
10 first and second major surfaces of the substrate.

In a sixth aspect, the invention features an article that includes (A) a first polymeric substrate having a first major surface that includes a microfluid processing architecture (as defined above), and a second major surface; and (B) a polymeric cover layer. The cover layer includes a first major surface overlying the  
15 first major surface of the substrate, and a second major surface that includes one or more microelectronic elements and a via extending between the first and second major surfaces of the cover layer.

In a seventh aspect, the invention features a method for processing a microfluid sample that includes (a) providing an article in the form of a roll  
20 comprising a first polymeric substrate having a first major surface that includes a plurality of discrete microfluid processing architectures, and a second major surface; (b) introducing a microfluid sample into one of the microfluid processing architectures; and (c) processing the sample (e.g., by analyzing the sample).

The invention provides polymeric articles useful for processing (e.g.,  
25 analyzing) microfluid samples that can be continuously produced on a commercial scale in the convenient form of a roll good which can be readily stored and handled. The roll good can be used directly for processing a fluid sample, e.g., in a reel-to-reel continuous process involving injecting a different fluid into each microfluid processing architecture and then performing multiple operations.  
30 Alternatively, the roll good may be separated into a plurality of discrete devices following manufacture.

The manufacturing process offers significant design flexibility, enabling a number of processing steps to be performed in-line. For example, microelectronic, microoptical, and/or micromechanical elements can be readily incorporated into the article during manufacture in a variety of different ways, including as part of the substrate bearing the microfluid processing architecture, as part of a cover layer, or as part of a second polymeric substrate integrally bonded to the substrate. Various designs incorporating these microelements are also possible. Multilayer articles are readily prepared as well.

The molding process is sufficiently versatile to allow formation of a number of different microfluid processing architecture designs. Accordingly, articles can be manufactured to perform numerous functions, including, for example, capillary array electrophoresis, kinetic inhibition assays, competition immunoassays, enzyme assays, nucleic acid hybridization assays, cell sorting, combinatorial chemistry, and electrochromatography.

The molding process enables the preparation of microfluid processing architectures having high aspect ratio and variable aspect ratio features. This, in turn, provides structures exhibiting improved speed and resolution. For example, the depth of a microchannel can be varied while maintaining a constant microchannel width. Such microchannels can be used to construct vertically tapered inlet and outlet diffusers for a piezoelectric valve-less diffuser micropump, or used to provide electrokinetic zone control or electrokinetic focusing. Similarly, the width of a high aspect ratio microchannel can be tapered at constant depth. The resulting structure is also useful for providing electrokinetic zone control.

It is also possible to taper both the depth and width of the microchannels to provide a constant cross-sectional area or, alternatively, a constant cross-sectional perimeter. As a consequence of the constant cross-sectional area or perimeter, the resulting structure enables achievement of a constant voltage gradient throughout the length of the channel for predominantly electrophoretic flow or electroosmotic flow, thereby providing optical confinement for single molecule detection without loss of resolving power. This structure is also useful for providing a transition between low aspect ratio and high aspect ratio structures (e.g., high aspect ratio injection tees, low aspect ratio probe capture

zones, microwell reactors, or piezoelectric drive elements) without loss of electrokinetic resolving power.

It is also possible to prepare two intersecting microchannels having different depths. This feature, in turn, may be exploited to create a microfluidic switch in a hydrophobic substrate. Because of the depth difference, fluid in one arm of the relatively shallow microchannel will not cross the intersection unless a buffer is introduced into the relatively deeper microchannel to bridge the intersection. The variable depth feature is also useful for preparing post arrays for corraling probe capture beads in an immunoassay or nucleic acid assay, while simultaneously permitting the reporter reagent and fluid sample to flow freely.

Other features and advantages of the invention will be apparent from the following description of the preferred embodiments thereof, and from the claims.

FIG. 1 is a schematic drawing of a continuous "cast and cure" process for preparing a microfluidic article.

FIG. 1(a) is a perspective drawing of a microfluidic article prepared according to the process shown in FIG. 1.

FIG. 2 is a perspective drawing of a continuous "extrusion embossing" process for preparing a microfluidic article.

FIG. 2(a) is a perspective drawing of a microfluidic article prepared according to the process shown in FIG. 2.

FIG. 3 is a perspective drawing of a second embodiment of a continuous "extrusion embossing" process for preparing a microfluidic article.

FIG. 3(a) is a perspective drawing of a microfluidic article prepared according to the process shown in FIG. 3.

FIG. 4 is a perspective drawing of a third embodiment of a continuous "extrusion embossing" process for preparing a microfluidic article.

FIG. 4(a) is a perspective drawing of a microfluidic article prepared according to the process shown in FIG. 4.

FIG. 5 is a schematic drawing of a fourth embodiment of a continuous "extrusion embossing" process for preparing a microfluidic article.

FIG. 5(a) is a perspective drawing of a microfluidic article prepared according to the process shown in FIG. 5.

FIG. 6 is a perspective drawing of a continuous "substrate embossing" process for preparing a microfluidic article.

5        FIG. 6(a) is a perspective drawing of a microfluidic article prepared according to the process shown in FIG. 6.

FIG. 7 is a perspective drawing of a second embodiment of a "substrate embossing" process for preparing a microfluidic article.

10       FIG. 7(a) is a perspective drawing of a microfluidic article prepared according to the process shown in FIG. 7.

FIG. 8 is a schematic drawing of a continuous process for preparing a microfluidic article in which a cover layer is laminated to a microfluid architecture-bearing substrate following molding.

15       FIGS. 9(a) and 9(b) are cross-sectional views showing a microfluid processing architecture-bearing substrate combined with a cover layer provided with microelectronic elements.

FIGS. 10(a) and 10(b) are schematic drawings showing representative microfluid processing architecture designs.

20       FIG. 11(a) is a top view of a flexible polymeric substrate featuring a plurality of electrically conductive traces and contact pads.

FIG. 11(b) is a top view of a flexible polymeric substrate featuring a plurality of microfluid processing architectures on a major surface of the substrate.

FIG. 12 is a top view of the substrate shown in FIG. 11(a) laminated in registration to the substrate shown in FIG. 11(b).

25       The invention features a polymer-based, microfluid processing architecture-bearing article for processing (e.g., analyzing) microfluid samples, and a continuous roll-to-roll process for manufacturing the article. One embodiment of the process (referred to as a "continuous cast and cure" process) is shown in Fig. 1.

30       Referring to Fig. 1, a flowable, preferably essentially solvent-free, photocurable resin composition 10 is extruded from a die 12 onto the surface of a continuous, flexible, optically transparent substrate 14.

Examples of suitable materials for substrate 14 include poly(methylmethacrylate) polycarbonates, polyesters, and polyimides. Examples of suitable photocurable resin compositions include alkyl acrylates and methacrylates (e.g., polymethyl methacrylate). The composition also includes a photoinitiator. Examples of suitable photoinitiators include benzoin ethers such as benzoin methyl ether and benzoin isopropyl ether; substituted acetophenones such as 2,2-diethoxyacetophenone, 2,2-dimethoxy-2-phenyl-1-phenylacetophenone, and dimethoxyhydroxyacetophenone; substituted alpha-ketols such as 2-methyl-2-hydroxy propiophenone; aromatic sulfonyl chlorides such as 2-naphthalene sulfonyl chloride; and photoactive oximes such as 1-phenyl-1,2-propanedione-2-(O-ethoxycarbonyl) oxime. Other ingredients which may be incorporated in the composition include monohydroxy and polyhydroxy compounds, thixotropic agents, plasticizers, toughening agents, pigments, fillers, abrasive granules, stabilizers, light stabilizers, antioxidants, flow agents, bodying agents, flatting agents, colorants, binders, blowing agents, fungicides, bactericides, surfactants, glass and ceramic beads, and reinforcing materials such as woven and non-woven webs of organic and inorganic fibers.

Resin 10 and substrate 14 are brought into contact with the molding surface of a molding tool 16 for imprinting a desired microfluid processing architecture pattern onto the surface of resin layer 10. As shown in Fig. 1, molding tool 16 is in the form of a roll or endless belt that rotates in a clockwise direction. However, it may also take the form of a cylindrical sleeve. The molding tool may be prepared using a variety of mastering techniques, including laser ablation mastering, electron beam milling, photolithography, x-ray lithography, machine milling, and scribing. It bears a pattern of the desired microfluid processing architecture.

The particular architecture design is selected based upon the desired operation which the article is intended to perform. Representative designs are shown in Figs. 10(a), 10(b) and 11(b). The designs include a competition assay chip (Fig. 10(a)) and a ladder chip (Fig. 10(b)) and an electrophoresis chip (Fig. 11(b)). The architectures feature various combinations of microchannels, fluid reservoirs, and sample handling regions. The dimensions for the individual

microarchitectural structures shown in Figs. 10(a) and (b) are representative of typical dimensions used for such chips. The particular dimensions for any given chip may vary.

Resin layer 10 is brought into line contact with the rotating surface of molding tool 16. The line 11 is defined by the upstream edge of resin layer 10 and moves relative to both tool 16 and resin layer 10 as tool 16 rotates. Substrate 14 remains in contact with resin layer 10 as the latter contacts the surface of molding tool 16. Any excess resin is minimized, after which tool 16, substrate 14, and resin layer 10 are exposed to actinic radiation from a radiation source 18, preferably in the form of ultraviolet radiation, to cure the resin composition while it remains in contact with the molding surface of tool 16. The exposure time and dosage level are selected based upon the characteristics of the individual resin composition, including the thickness of resin layer 10.

As shown in Fig. 1(a), the resulting product 20 is in the form of a two-layer sheet featuring a polymeric substrate 22 bearing a plurality of microfluid processing architectures 24 integrally bonded to substrate 14. Following molding, the sheet may be taken up on a roll (not shown) to yield the product in the form of a roll good.

It is also possible to perform the cast and cure process using a thermally curable resin composition as the moldable resin composition, in which case a source of thermal radiation (e.g., a heat lamp), rather than actinic radiation, is employed.

In a variation of this process, a molten thermoplastic resin is used as the moldable resin composition. The combination of the tool and resin is cooled following contact to solidify (rather than cure) the resin.

Microfluidic articles may also be prepared according to an extrusion embossing process. Various embodiments of this process are shown in Figs. 2-5. Referring to Fig. 2, a flowable resin composition is extruded from die 12 directly onto the rotating surface of molding tool 16 such that resin is brought into line contact with the rotating surface of molding tool 16; examples of suitable resin compositions include the photocurable, thermally curable, and thermoplastic resin compositions described above. The line is defined by the upstream edge of the

resin and moves relative to both tool 16 and the resin as tool 16 rotates. As shown in Fig. 2(a), the resulting product is a single layer article 26 in the form of a sheet featuring a polymeric substrate 23 bearing a plurality of microfluid processing architectures 24. The sheet may be taken up on a roll (not shown) to yield the article in the form of a roll good.

Fig. 3 illustrates another variation of the extrusion embossing process shown in Fig. 2. As shown in Fig. 3, a polymeric substrate 28 is introduced into a molding zone defined by tool 16 and brought into line contact with the rotating molding surface of tool 16. Suitable materials for substrate 28 include those described above for substrate 14. Non-optically transparent substrates may be used as well. A flowable resin composition (as described above) is extruded from die 12 onto the surface of substrate 28 opposite the surface of substrate 28 in line contact with the molding surface of tool 16. Molding tool 16 embosses a plurality of microfluid processing architectures onto the surface of substrate 28. The resulting article, as shown in Fig. 3(a), is an article in the form of a two-layer sheet 30 featuring a polymeric substrate 28 bearing a plurality of microfluid processing architectures 24 that is integrally bonded to a polymeric layer 32 formed from the resin extruded onto substrate 28. Following molding, the sheet may be taken up on a roll (not shown) to yield the product in the form of a roll good.

Fig. 4 illustrates yet another variation of the extrusion embossing process shown in Fig. 2. As shown in Fig. 4, a flowable resin composition (as described above) is extruded from die 12 onto the rotating surface of molding tool 16 such that resin is brought into line contact with the rotating surface of molding tool 16. As in the case of the embodiment shown in Fig. 2, the line is defined by the upstream edge of the resin and moves relative to both tool 16 and the resin as tool 16 rotates. At the same time, a second polymeric substrate 34 is introduced into the molding zone defined by tool 16 such that it contacts the resin. Suitable materials for substrate 34 include the materials discussed above in the context of substrate 14. Non-optically transparent substrates may also be used. The resulting article is in the form of a two-layer sheet 36 featuring a polymeric substrate 38 bearing a plurality of microfluid processing architectures 24 that is integrally

bonded to polymeric substrate 34. Following molding, the sheet may be taken up on a roll (not shown) to yield the product in the form of a roll good.

Fig. 5 illustrates yet another embodiment of an extrusion embossing process. As shown in Fig. 5, a flowable resin composition (as described above) is extruded from die 12 onto the rotating surface of molding tool 16 such that resin is brought into line contact with the rotating surface of molding tool 16. As in the case of the embodiment shown in Fig. 2, the line is defined by the upstream edge of the resin and moves relative to both tool 16 and the resin as tool 16 rotates. Additional resin from a second die 40 is extruded onto the layer of resin in contact with molding tool 16.

The resulting product is a two-layer article 42 in the form of a sheet featuring a polymeric substrate 44 bearing a plurality of microfluid processing architectures 24 that is integrally bonded to a polymeric substrate 46 formed from the resin extruded from die 40. Following molding, the sheet may be taken up on a roll (not shown) to yield the product in the form of a roll good. It is also possible to form additional polymeric layers by incorporating additional extrusion dies. Alternatively, a single die equipped with an appropriate feedblock may be used to co-extrude multiple polymeric layers.

In yet another embodiment, articles may be prepared by a substrate embossing process. As illustrated in Fig. 6, a single, embossable substrate 48 is brought into line contact with molding tool 16 to form the microfluid processing architecture directly on the surface of the substrate. The line 11 is formed by the intersection of (a) the upstream edge of substrate 48 and (b) the nip formed between roller 50 and the rotating surface of molding tool 16. Optionally, roller 50 can have a molding surface bearing a microfluid processing architecture pattern. The resulting article features a substrate having a plurality of microfluid processing architectures on both of its major surfaces.

As shown in Fig. 6(a), the resulting product is a single layer article 52 in the form of a sheet featuring a polymeric substrate 48 bearing a plurality of microfluid processing architectures 24. The sheet may be taken up on a roll (not shown) to yield the article in the form of a roll good.



Fig. 7 illustrates a variation of the embossing process illustrated in Fig.

6. As shown in Fig. 7, embossable substrate 48 is brought into line contact with molding tool 16 to form the microfluid processing architecture directly on the surface of the substrate. The line 11 is formed by the intersection of (a) the upstream edge of substrate 48 and (b) the nip formed between roller 50 plus a

second polymeric substrate 54 and the rotating surface of molding tool 16. Substrate 54 is positioned such that it contacts the surface of substrate 48 opposite the surface in contact with the molding surface of tool 16.

The resulting article, shown in Fig. 7a, is a two-layer article 56 in the form of a sheet featuring a polymeric substrate 48 bearing a plurality of microfluid processing architectures 24 that is integrally bonded to a polymeric substrate 54. The sheet may be taken up on a roll (not shown) to yield the article in the form of a roll good.

Following molding the article is in the form of a "blank" that can be taken up by a take-up roller and stored. To assemble an operable microfluid processing device, the blank is combined with a separate cover layer that overlies the microfluid processing architecture-bearing layer. In this form, the device is useful for processing (e.g., analyzing) microfluid samples.

Materials for the cover layer are capable of forming a fluid-tight seal with the microfluid processing architecture-bearing substrate. In addition, they resist degrading in the presence of reagents such as buffers typically used for sample analysis, and preferably minimize background fluorescence and absorption; the latter feature is particularly useful when the device is to be used in conjunction with fluorescence-based analytical techniques.

The cover layer may take the form of a polymeric substrate that is bonded to the microfluid processing architecture-bearing surface of the substrate. Examples of suitable polymeric substrates include polycarbonate, polyester, poly(methylmethacrylate), polyethylene, and polypropylene. Bonding may be effected using a pressure sensitive adhesive (e.g., a styrene-butadiene-styrene block copolymer adhesive commercially available from Shell under the designation "Kraton" rubber), a hot melt adhesive (e.g., ethylene-vinyl acetate adhesives), a patterned adhesive, or a thermoset adhesive (e.g., epoxy adhesives). The adhesive

may be laid down in the form of a pattern such that bonding occurs at discrete locations on substrate 20. Bonding may also be effected by laminating or solvent welding the cover layer directly to the microfluid processing architecture-bearing substrate.

5           Rigid cover layers such as glass cover layers may be used as well. In addition, the cover layer may be part of the analytical instrumentation with which the article is designed to be used.

Fig. 8 illustrates a preferred method for adding a cover layer in-line to a microfluid processing architecture bearing substrate 64. As shown in Fig. 8, article  
10   64 is conveyed to a lamination zone located downstream of the molding zone. The lamination zone includes a flexible, polymeric cover substrate 58 on a roller 66. Within the lamination zone, cover substrate 58 is laminated to article 64 between rollers 60, 66.

Although all of the above-described articles feature a single substrate  
15   with a plurality of microfluid processing architectures on one or both of its major surfaces, it is also possible to prepare articles featuring layers of such substrates bonded together. One way to produce such a multi-layered article would be to substitute a microfluid processing architecture-bearing substrate for the cover substrate shown in Fig. 8.

20           Thin film inorganic coatings may be selectively deposited on portions of the microfluid processing architectures, e.g., on the interior surface of microchannels. Deposition may occur either in-line during manufacture or in a subsequent operation. Examples of suitable deposition techniques include vacuum sputtering, electron beam deposition, solution deposition, and chemical vapor  
25   deposition.

The inorganic coatings may perform a variety of functions. For example, the coatings may be used to increase the hydrophilicity of the microfluid processing architecture or to improve high temperature properties. Application of certain coatings may facilitate wicking a sizing gel into the microchannels of an  
30   electrophoresis device. Conductive coatings may be used to form electrodes or diaphragms for piezoelectric or peristaltic pumping. Coatings may be used as barrier films to prevent outgassing for applications such as gas chromatography.

It is also possible to selectively deposit reagents, biological probes, biocompatible coatings, and the like onto various portions of the microfluid processing architecture. Alternatively, these materials may be deposited in a pre-determined pattern on the surface of the cover layer designed to contact the  
5 microfluid processing architecture.

The article preferably includes one or more microelectronic, microoptical, and/or micromechanical elements as well. Examples of microelectronic elements include conductive traces, electrodes, electrode pads, microheating elements, electrostatically driven pumps and valves,  
10 microelectromechanical systems (MEMS), and the like. Examples of microoptical elements include optical waveguides, waveguide detectors, reflective elements (e.g., prisms), beam splitters, lens elements, solid state light sources and detectors, and the like. Examples of micromechanical elements include filters, valves, pumps, pneumatic and hydraulic routing, and the like. The microelements may be  
15 incorporated in the cover layer, either surface of the microfluid processing architecture-bearing substrate, an additional polymeric substrate bonded to the microfluid processing architecture-bearing substrate, or a combination thereof.

The microelements serve a variety of functions. For example, microelectronic elements that make contact with fluid at particular points in the  
20 microfluid processing architecture can be designed to electrokinetically drive fluids through the architecture with a high degree of control. Such microelectronic elements can enable operations such as electrokinetic injection, capillary electrophoresis, and isoelectric focusing, as well as more complex operations such as delivering precise amounts of reagents to one or more sample handling regions  
25 for applications such as capillary array electrophoresis and combinatorial chemistry.

Microelectronic elements that contact the fluid may also be designed to form an addressable electronic matrix for free field electrophoretic sorting of charged biological species such as cells, nucleic acid fragments, and antigens.  
30 Microelectronic elements that contact the fluid at a particular point can also be used to detect species electrochemically.

It is also possible to design microelements that do not contact the fluid.

For example, microelectronic elements can be designed to lie in close proximity to the microfluid processing architecture such that they can be used to heat and cool fluid samples, or to establish different temperature zones throughout the microfluid processing architecture. Such zones, in turn, are used to support thermal cycling required in applications such as PCR amplification of nucleic acids and combinatorial chemistry experiments. In addition, microelectronic elements lying in close proximity to the microfluid processing architecture can be designed to form an antenna to detect AC impedance changes useful for detecting analytes in a microfluidic separation system.

There are several different ways to incorporate microelectronic, microoptical, and/or micromechanical elements into the microfluid processing architecture-bearing articles. For example, the microelements may be incorporated into cover layer 70, which is then bonded to substrate 68 as described above. Such an arrangement involving microelectronic elements is shown in Figs. 9(a) and 9(b). Cover layer 70 is bonded at one surface to the microfluid processing architecture-bearing surface of substrate 68. The microfluid processing architecture shown in Figs. 9(a) and 9(b) includes an inlet port 72, a fluid reservoir 74, and a microchannel 38. Cover layer 70 features an electrically conductive via 76 in communication with reservoir 74 that terminates in a conductive circuit trace 78. Trace 78 acts as an electrode for applying a voltage to reservoir 74 to drive fluid, or components therein, throughout the microfluid processing architecture. As shown in Fig. 9(b), via 76 may be filled with metal to form an electrically conductive "bump" 80 in communication with reservoir 74.

Another method for incorporating microelectronic elements into the article involves providing a flexible polymeric substrate bearing a series of electrically conductive traces (e.g., traces made from nickel, gold, platinum, palladium, copper, conductive silver-filled inks, or conductive carbon-filled inks), and then forming the microfluid processing architecture on a surface of this substrate. Examples of suitable substrates include those described in Klun et al., U.S. 5,227,008 and Gerber et al., U.S. 5,601,678. The substrate then becomes the microfluid processing architecture-bearing substrate.

The microfluid processing architecture may be formed in several ways. For example, the conductive trace-bearing surface of the substrate may be brought into contact with a molding tool having a molding surface bearing a pattern of the desired microfluid processing architecture following the embossing process described in Fig. 6. Following contact, the substrate is embossed to form the microfluid processing architecture on the same surface as the conductive traces. The trace pattern and molding surface are designed such that the conductive traces mate with appropriate features of the microfluid processing architecture.

It is also possible, using the same molding tool, to emboss the microfluid processing architecture onto the surface of the substrate opposite the conductive trace-bearing surface. In this case, the non-trace bearing surface is provided with a series of electrically conductive vias or through holes prior to embossing to link the conductive traces with appropriate structures of the microfluid processing architecture.

Alternatively, it is possible to bond a separate polymeric substrate bearing microelectronic, microoptical, and/or micromechanical elements to the microfluid processing architecture-bearing surface of a polymeric substrate using, e.g., a patterned adhesive such that the conductive traces mate with appropriate features of the microfluid processing architecture.

It is also possible to introduce microelectronic, microoptical, and/or micromechanical elements into a separate polymeric substrate that is bonded to the microfluid processing architecture-bearing substrate following the processes described in Figs. 1, 3, 4, and 7. To accomplish this objective, a flexible substrate having a series of electrically conductive vias and bumps on one of its major surfaces is used as substrate 14, 28, 34, or 54. Microfluid processing architecture is then molded as described above on the via and bump-bearing surface of the substrate.

It is also possible to introduce microelectronic, microoptical, and/or micromechanical elements into a separate polymeric substrate that is laminated to the microfluid processing architecture-bearing substrate subsequent to molding.

Another method for equipping the article with microelectronic, microoptical, and/or micromechanical elements involves taking a polymeric

substrate having microfluid processing architecture on one surface, and inserting electrically conductive posts or pins through the opposite surface; alternatively, a z-axis electrically conductive adhesive may be used (e.g., Z-axis Adhesive Film 7303 commercially available from 3M Company of St. Paul, MN). The article can  
5 then be pressure mounted to a circuit board. In a variation of this process, electrically conductive posts or pins may be inserted through a cover layer overlying the microfluid processing architecture-bearing substrate for providing an electrical connection.

Yet another method for equipping the article with microelectronic,  
10 microoptical, and/or micromechanical elements involves taking a polymeric substrate having microfluid processing architecture on one surface, and depositing a pattern of electrically conductive metal traces directly onto this surface using conventional metal deposition and photolithographic techniques.

The articles may be used to perform a variety of procedures, including  
15 analytical procedures. A roll containing a number of discrete microfluid processing architectures may be used directly in a continuous reel-to-reel process. According to this process, the roll would be continuously fed to a microfluid sample dispenser which would inject a microfluid sample into the inlet port of each microfluid processing architecture. The resulting samples would then be processed  
20 (e.g., analyzed) accordingly. Alternatively, the roll may be slit to form a number of individual devices suitable for use in a batch process.

The invention will now be described further by way of the following examples.

### EXAMPLES

#### 25 Example 1

Two separate rolls of film, each containing a number of microfluid processing architectures, were prepared using microstructured nickel tooling in the form of an endless belt. One of the tools was designed to have the microfluid processing architecture pattern shown in Fig. 10(a), while the other had the pattern  
30 shown in Fig. 10(b). The tooling was produced by excimer laser ablation of a polyimide substrate to produce the desired pattern, and then electroplating the patterned areas to form a nickel tool with the indicated pattern. The tooling was

then used in a continuous extrusion embossing process to produce articles as follows.

Polycarbonate pellets of Makrolon™ 2407 available from Mobay Corporation of Pittsburgh, PA were cast onto a heated microstructured nickel tooling surface containing ribs that were 50 micrometers tall and nominally 64 micrometers wide. These ribs corresponded to microchannels in the final molded article. The ribs were arranged in such a way that they connected several reservoirs that were 50 micrometers tall and 4 millimeters in diameter as depicted in Figures 10(a) and 10(b). The nickel tooling thickness was 508 micrometers and the tooling temperature was 210°C. Molten polycarbonate at a temperature of 282°C was delivered to the nickel tooling in the form of a line of contact with the tool surface at a pressure of approximately  $1.66 \times 10^7$  Pascals for 0.7 seconds to replicate the pattern on the tool surface. Coincident to forming the replicated pattern, additional polycarbonate was deposited on a continuous polymeric substrate located above the tooling having a thickness of approximately 103.9 micrometers. The combination of the tooling, the substrate, and molten polycarbonate was then cooled with air for 18 seconds to a temperature of approximately 48.9°C, thereby allowing the polycarbonate to solidify. The resulting molded product was then removed from the tool surface.

#### Example 2

The tooling used in Example 1 was heated to a temperature of 199-207°C. Poly(methylmethacrylate) pellets (Plexiglass™ DR 101 from Rohm and Haas Co. of Philadelphia, PA) were delivered, providing a line contact of polymer with the nickel tooling at a temperature of 271°C and a pressure of  $1.1 \times 10^7$  Pascals for 0.7 seconds. Coincident to forming the replicated pattern, additional poly(methylmethacrylate) was deposited on a continuous polymeric substrate located above the tooling having a thickness of approximately 212.1 micrometers. The combination of the tooling, the polymeric substrate, and the molten poly(methylmethacrylate) was then cooled with air for 18 seconds to a temperature of approximately 48.9°C, thereby allowing the poly(methylmethacrylate) to solidify. The resulting molded product was then removed from the tool surface.

Example 3

An ultraviolet radiation-curable blend of 59.5 parts by weight Photomer™ 316 (an epoxy diacrylate oligomer commercially available from  
5 Henkel Corp. of Ambler, PA), 39.5 parts by weight Photomer™ 4035 (2-phenoxyethyl acrylate monomer commercially available from Henkel Corp. of  
Ambler, PA), and 1 part Darocur™ 1173 photoinitiator (Ciba Additives, Tarrytown, NY) was prepared. The blend was then laminated between the tool  
described in Example 1 that had been heated to 66 °C and a sheet of 0.5 mm thick  
10 polycarbonate (available from General Electric Corp. of Pittsfield, MA under the trade designation "Lexan"). The resin thickness was minimized using a hand-operated ink roller. The resulting structure was placed on a conveyor belt and  
passed at 7.6 meters per minute beneath a high intensity ultraviolet lamp ("D" lamp supplied by Fusion UV Systems, Inc. of Gaithersburg, MD) operating at 600  
15 watts/inch to cure the resin. The cured article, featuring a microfluid processing architecture-bearing polymer substrate integrally bonded to a polycarbonate substrate, was then removed from the tool.

Example 4

20 This example describes the preparation of a microfluidic device featuring a polymeric substrate bearing a plurality of microfluid processing architectures is combined with a polymeric substrate featuring microelectronic elements.

A polymer substrate 114, shown in Fig. 11(b), having multiple cross-dogbone microfluid processing architectures 116, was prepared by molding a  
25 poly(methylmethacrylate) film (DRG-100, Rohm and Haas) in a press using a nickel molding tool prepared following the general procedure set forth in Example 1. The tool measured 16.5 cm by 19 cm by 0.5 mm thick, and included five different cross-dogbone microfluid processing architectures 116, as shown in Fig.  
30 11(a). The film and molding tool were brought into contact with each other at a temperature of 199 °C and a pressure of  $3.5 \times 10^6$  Pascals for 15 seconds, after which the pressure was increased to  $6.2 \times 10^6$  Pascals for a period of 10 minutes.



Thereafter, the temperature was decreased to 74 °C while maintaining the pressure at  $6.2 \times 10^6$  Pascals for a period of 15 seconds. The resulting molded substrate 114 featured five different cross-dogbone microfluid processing architectures 116, each having a long channel measuring 28.5 mm long intersected by a short channel measuring 9 mm long. Each channel was terminated with fluid reservoirs measuring 5 mm in diameter. Both the channels and the reservoirs were 50 micrometers deep. The five architectures differed in the width of the channels, having widths of 64, 32, 16, 8, and 4 micrometers, respectively. One millimeter diameter inlet ports were then drilled through the center of each reservoir.

10 A flexible polymeric substrate 100, as shown in Fig. 11(b), bearing a plurality of microelectronic circuit elements, was prepared as follows. A polyimide sheet (available from DuPont under the designation "Kapton E") was vapor-coated with a tie-layer of chrome oxide which was then vapor-coated with a 2 micrometer layer of copper. A printed circuit board transfer resist (available  
15 from Techniks Inc., Ringoes, NJ, under the designation "Press-n-Peel") was then used to pattern microelectronic circuits on the copper-coated polyimide following the manufacturer's directions. The resulting substrate 100 contained six identical microelectronic circuit patterns, each having four electrically conductive copper traces 110. Each of the traces 110, in turn, terminated in a contact pad 112.

20 Following patterning, the exposed copper was removed using a copper etching bath. The chrome oxide tie-layer was then etched using a chrome oxide etchant and the transfer resist was removed using an acetone wash. The resulting copper traces were 500 micrometers wide with a 5 mm square contact pad at the peripheral tab.

25 Substrate 100 was laminated to substrate 114 to create the microfluidic article 118 shown in Fig. 12 as follows. Substrate 100 and substrate 114 were both segmented to fabricate individual devices. A piece of double-sided adhesive tape (9443 tape available from 3M Company, St. Paul, MN) was patterned with holes to correspond to the fluid reservoirs in the cross-dogbone microfluid processing  
30 architecture 116. Each microfluid processing architecture 116 was then laminated to a circuit on substrate 100 such that the circuit-bearing face of substrate 100 mated with the microfluid processing architecture face of substrate 114, allowing

contact between copper traces 110 and the fluid reservoirs of the microfluid processing architecture. Lamination was effected using a nip roller to provide line contact lamination of the two substrates. The resulting microfluidic article 118 was then used to demonstrate electrokinetic injections and electrophoretic separations as follows.

Microfluid processing architecture 116 was flooded with 4 mM  $\text{Na}_2\text{B}_4\text{O}_7$  buffer (pH = 9.0). The analyte reservoir was then filled with 20 micromolar fluorescein indicator dye dissolved in the same buffer. Voltages were applied to the four reservoirs by connecting contact pads 112 to a computer controlled voltage control circuit. Movement of the fluorescent indicator dye within the fluidic channels was monitored using a Leica DMRX epifluorescence microscope (Leica Inc., Deerfield, IL) equipped with a CCD camera (Panasonic CL 354, Panasonic Industrial Co., Secaucus, NJ). For a pinched sample injection, voltages at the four reservoirs were set to provide voltage gradients from the analyte, sample, and waste reservoirs toward the analyte waste reservoir. This allowed for a good flow of fluorescein dye from the analyte reservoir through the injection tee and into the analyte waste reservoir. A slow flow of buffer from the separation channel and from the buffer reservoir created a trapezoidal plug of about 180 pL of fluorescein solution at the injection tee. Injection of this plug down the separation channel was effected by switching the voltages such that flow was predominantly from the buffer reservoir down the separation channel toward the waste reservoir. A tight bolus of fluorescein dye was observed to move down the separation channel.

The example was repeated using a mixture of fluorescein and calcein. In this case, injection of the mixed bolus down the separation channel resulted in rapid electrophoretic separation of the two materials.

What is claimed is:

1. A process for preparing a molded article comprising:
  - (a) bringing a moldable material and an open molding tool comprising a molding surface into line contact with each other to imprint a microfluid processing architecture pattern onto said moldable material and thereby  
5 form a molded article; and
  - (b) separating said molded article from said molding surface.
2. A process according to claim 1 wherein said moldable material  
10 comprises an embossable polymeric substrate.
3. A process according to claim 2 wherein said embossable polymeric substrate further comprises a microelectronic element.
- 15 4. A process according to claim 2 wherein said embossable polymeric substrate further comprises a microoptical element.
5. A process according to claim 2 wherein said embossable polymeric substrate further comprises a micromechanical element.  
20
6. A process according to claims 1-5 wherein said moldable material comprises a flowable resin composition.
7. A process according to claim 6 wherein said flowable resin  
25 composition comprises a curable resin composition, said process comprising exposing said composition to thermal or actinic radiation prior to separating said molded article from said molding surface to cure said composition.
8. A process according to claim 6 wherein said curable resin  
30 composition comprises a photopolymerizable composition, said process comprising exposing said composition to actinic radiation prior to separating said molded article from said molding surface to cure said composition.

9. A process according to claim 6 wherein said flowable resin composition comprises a molten thermoplastic composition, said process comprising cooling said composition prior to separating said molded article from  
5 said molding surface.

10. A process according to claim 6 comprising introducing said flowable resin composition onto a major surface of a polymeric substrate, and relatively moving said polymeric substrate and said molding tool to bring said tool  
10 and said flowable resin composition into line contact with each other.

11. A process according to claim 10 wherein said polymeric substrate further comprises a microelectronic element.

15 12. A process according to claim 10 wherein said polymeric substrate further comprises a microoptical element.

13. A process according to claim 10 wherein said polymeric substrate further comprises a micromechanical element.  
20

14. A process according to claim 6 comprising introducing said flowable resin composition onto said molding surface.

15. A process according to claim 14 further comprising bonding  
25 said flowable resin composition to a polymeric substrate.

16. A process according to claim 15 wherein said polymeric substrate comprises a microelectronic element.

30 17. A process according to claim 15 wherein said polymeric substrate comprises a microoptical element.

18. A process according to claim 15 wherein said polymeric substrate comprises a micromechanical element.

5 19. A process according to claim 1 further comprising bonding a polymeric substrate comprising a microelectronic element to said molded article.

20. A process according to claim 1 or 19 further comprising bonding a polymeric substrate comprising a microoptical element to said molded article.

10

21. A process according to claim 1 further comprising bonding a polymeric substrate comprising a micromechanical element to said molded article.

15 22. A process according to claim 1 and 19-21 further comprising bonding a substrate to said molded article to form a cover layer overlying said microfluid processing architecture.

23. A process according to claim 22 wherein said substrate comprises a polymeric substrate.

20

24. A process according to claim 22-23 wherein said substrate further comprises a microelectronic element.

25 25. A process according to claim 24 wherein said substrate comprises a polymeric substrate.

26. A process according to claim 22-24 wherein said substrate further comprises a microoptical element.

30 27. A process according to claim 22-24 or 26 wherein said substrate further comprises a micromechanical element.

28. A process according to claim 1 comprising continuously introducing moldable material into a molding zone defined by said molding tool and continuously bringing said molding tool into line contact with said moldable material to create a plurality of microfluid processing architectures.

5

29. A process according to claim 28 comprising producing said article in the form of a roll comprising a plurality of microfluid processing architectures.

10

30. A process according to claim 28-29 further comprising continuously bonding a polymeric substrate comprising a microelectronic element to said molded article.

15

31. A process according to claim 28-30 further comprising continuously bonding a polymeric substrate comprising a microoptical element to said molded article.

20

32. A process according to claim 28-31 further comprising continuously bonding a polymeric substrate comprising a micromechanical element to said molded article.

25

33. A process according to claim 28-32 further comprising continuously bonding a polymeric substrate to said molded article to form a cover layer overlying said microfluid processing architectures.

34. A process according to claim 33 wherein said polymeric substrate further comprises a microelectronic element.

35. A process according to claim 33-34 wherein said polymeric substrate further comprises a microoptical element.

30

36. A process according to claim 33-35 wherein said polymeric substrate further comprises a micromechanical element.

37. An article comprising:

5 (a) a first non-elastic, polymeric substrate comprising (i) a first major surface that includes a microfluid processing architecture, and (ii) a second major surface; and

(b) a second polymeric substrate that is integrally bonded to said second major surface of said first substrate,

10 said second substrate being capable of forming a free-standing substrate in the absence of said first substrate.

38. An article comprising a first polymeric substrate comprising (i) a first major surface that includes a plurality of microfluid processing architectures  
15 and (ii) a second major surface,

wherein said article is in the form of a roll.

39. An article comprising:

20 (a) a first polymeric substrate comprising (i) a first major surface that includes a microfluid processing architecture, and (ii) a second major surface; and

(b) a second polymeric substrate comprising (i) a first major surface that is integrally bonded to said second major surface of said first substrate and (ii) a second major surface that comprises a microelectronic element and a via

25 extending between said first and second major surfaces of said second substrate,

said second substrate being capable of forming a free-standing substrate in the absence of said first substrate.

40. An article comprising:

30 a first polymeric substrate comprising (i) a first major surface that includes a microfluid processing architecture, and (ii) a second major surface that

comprises a microelectronic element and a via extending between said first and second major surfaces of said substrate.

41. An article comprising:

5 (a) a first polymeric substrate comprising (i) a first major surface that includes a microfluid processing architecture, and (ii) a second major surface; and

(b) a polymeric cover layer comprising (i) a first major surface overlying said microfluid processing architecture, and (ii) a second major surface  
10 that comprises a microelectronic element and a via extending between said first and second major surfaces of said cover layer.

42. An article according to claim 37-40 further comprising a cover layer overlying said microfluid processing architecture.  
15

43. An article according to claim 42 wherein said cover layer comprises a polymeric cover layer.

44. An article according to claim 42 wherein said cover layer is  
20 bonded to said first major surface of said first substrate.

45. An article according to claim 37-41 wherein said microfluid processing architecture includes structures selected from the group consisting of microchannels, fluid reservoirs, sample handling regions, and combinations  
25 thereof.

46. An article according to claim 37-38 wherein said article further comprises a microelectronic element.

30 47. An article according to claim 37-41 wherein said article further comprises a microoptical element.



48. An article according to claim 37-41 wherein said article further comprises a micromechanical element.

49. An article according to claim 38 wherein said article further  
5 comprises a second polymeric substrate integrally bonded to said second major surface of said first substrate,  
said second substrate being capable of forming a free-standing substrate in the absence of said first substrate.

10 50. An article according to claim 38 further comprising a polymeric cover layer bonded to the first major surface of said first substrate.

51. An article according to claim 38 wherein said microfluid processing architectures include structures selected from the group consisting of  
15 microchannels, fluid reservoirs, sample handling regions, and combinations thereof.

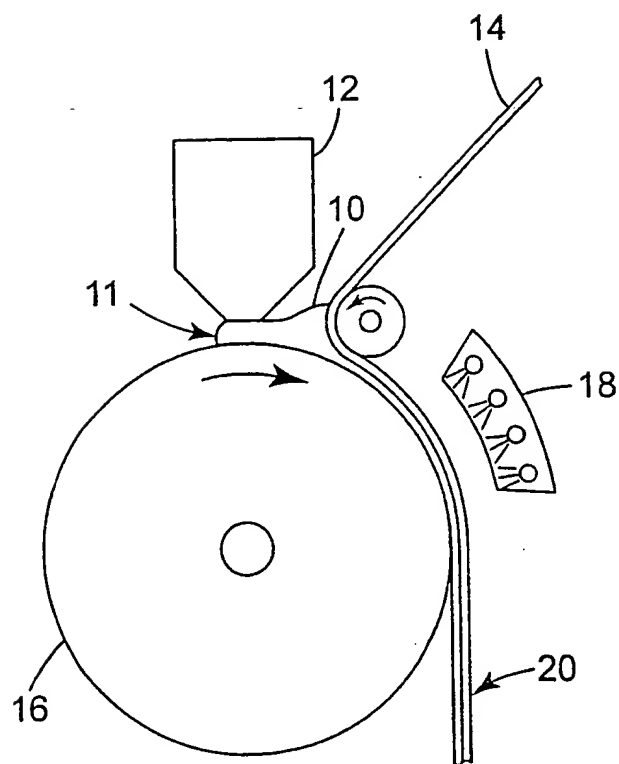
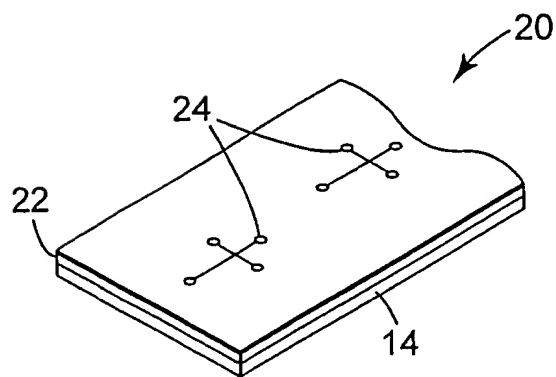
52. An article according to claim 38 wherein said article further comprises a microelectronic element.

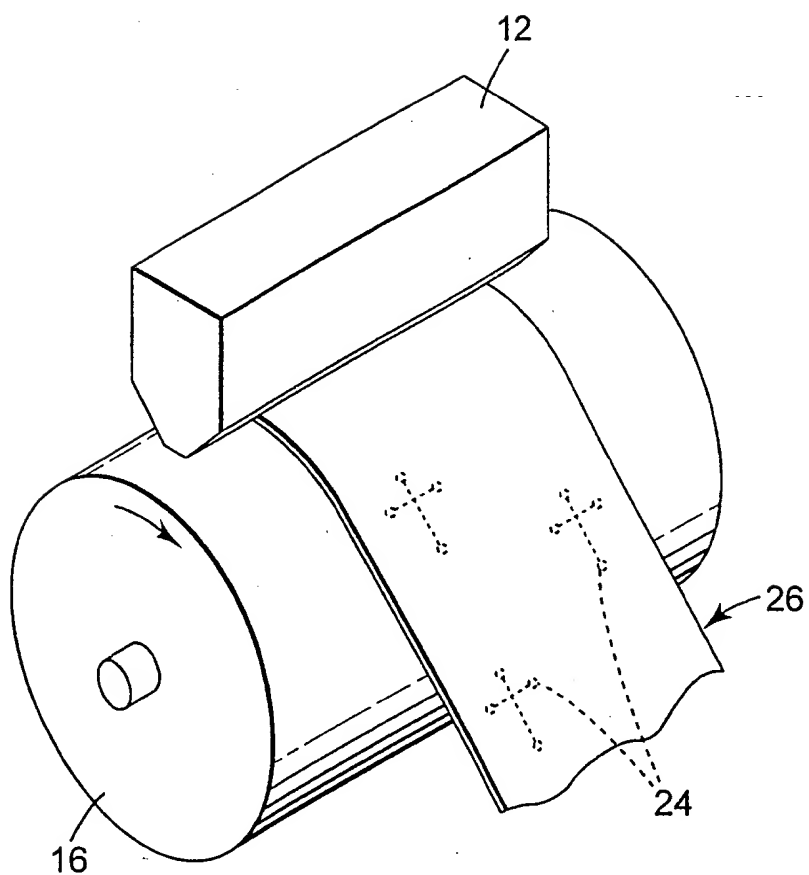
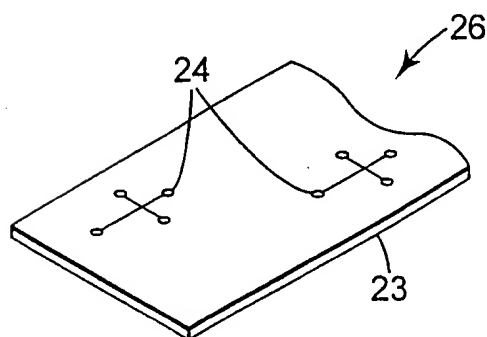
20 53. An article according to claim 38 wherein said article further comprises a microoptical element.

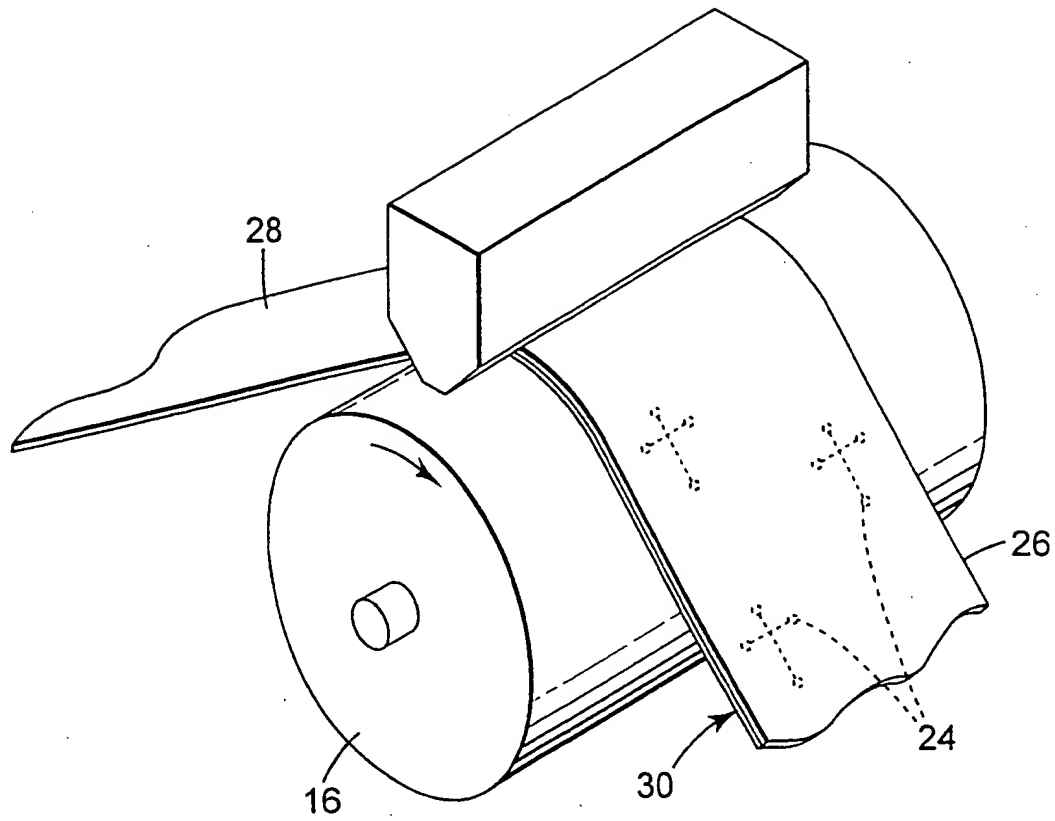
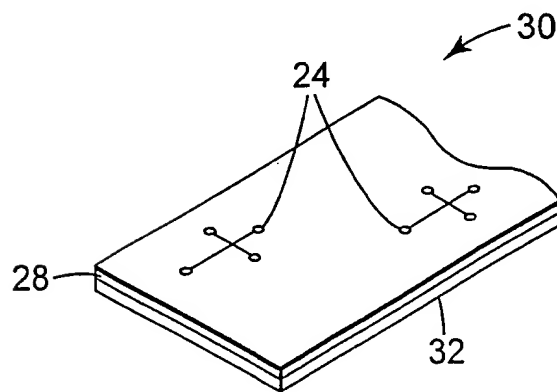
54. An article according to claim 38 wherein said article further  
25 comprises a micromechanical element.

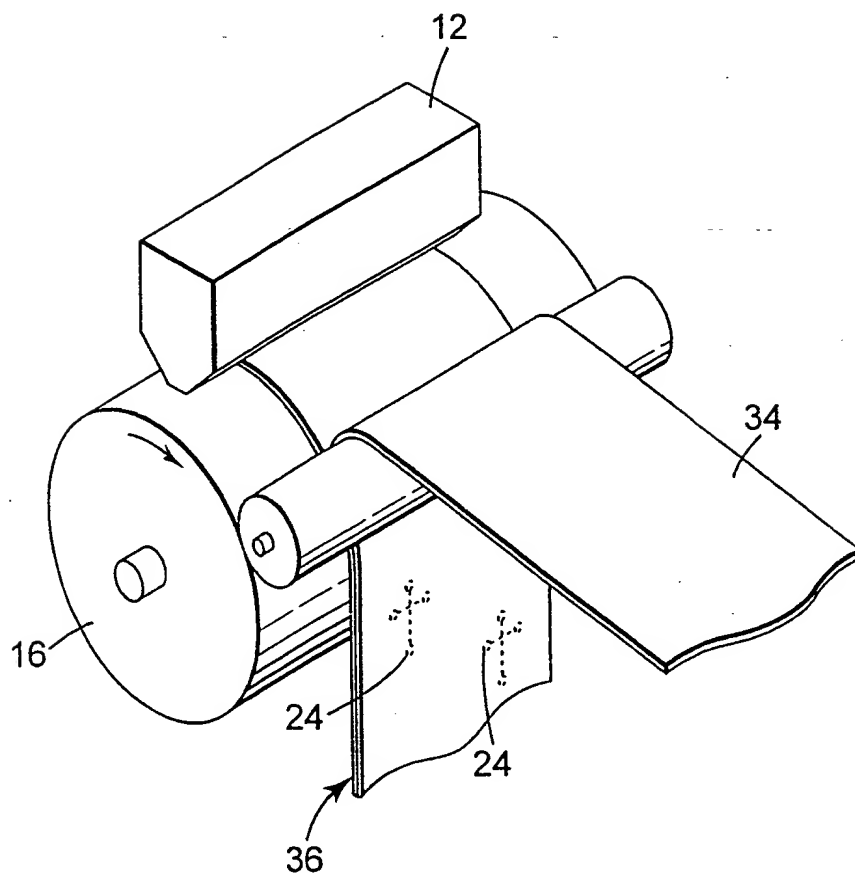
55. A method for processing a microfluid sample comprising:  
(a) providing an article in the form of a roll comprising a first polymeric substrate comprising (i) a first major surface that includes a plurality of  
30 microfluid processing architectures and (ii) a second major surface;  
(b) introducing a microfluid sample into one of said microfluid processing architectures; and

(c) processing said sample.

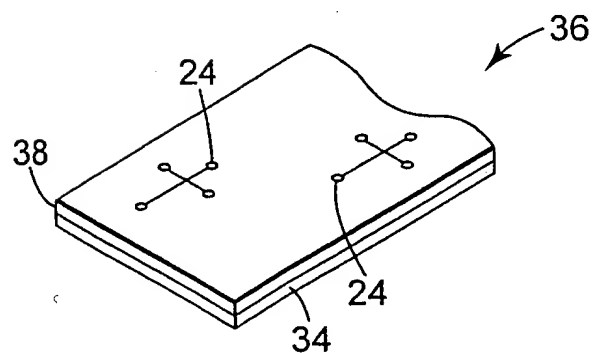
*Fig. 1**Fig. 1a*

*Fig. 2**Fig. 2a*

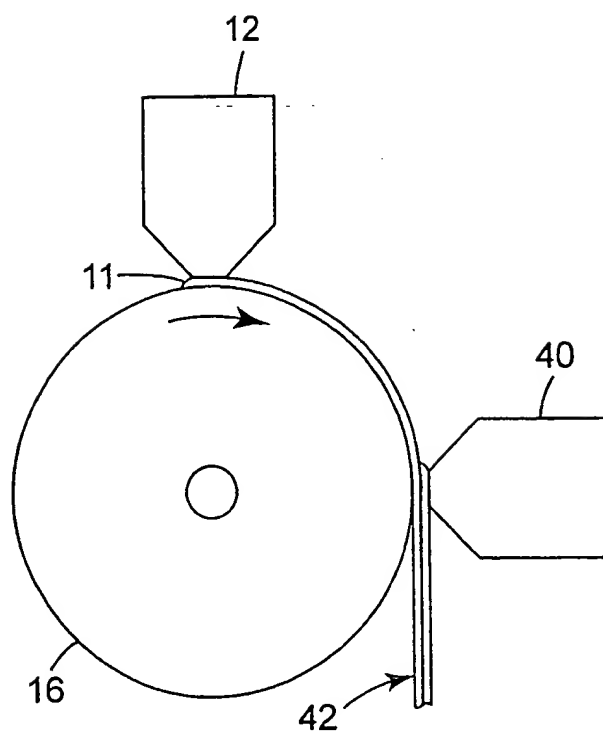
*Fig. 3**Fig. 3a*



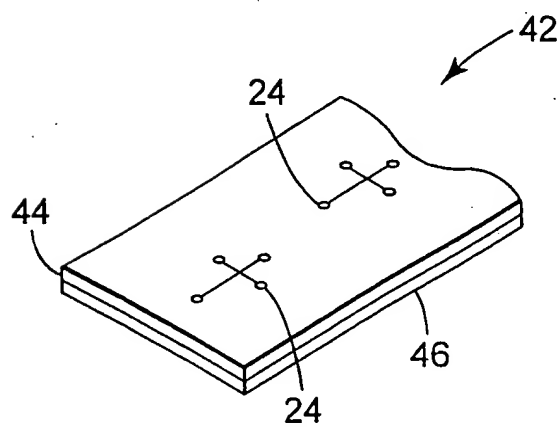
**Fig. 4**



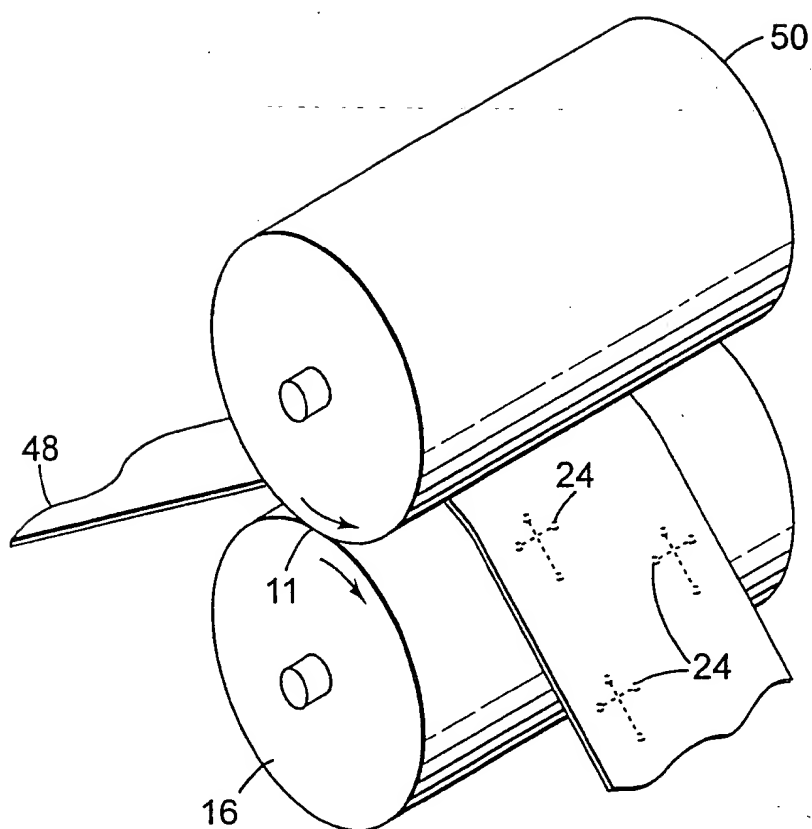
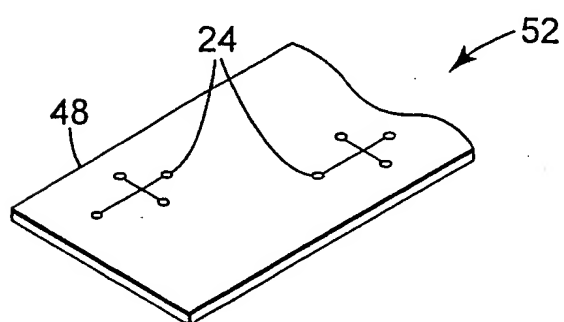
**Fig. 4a**



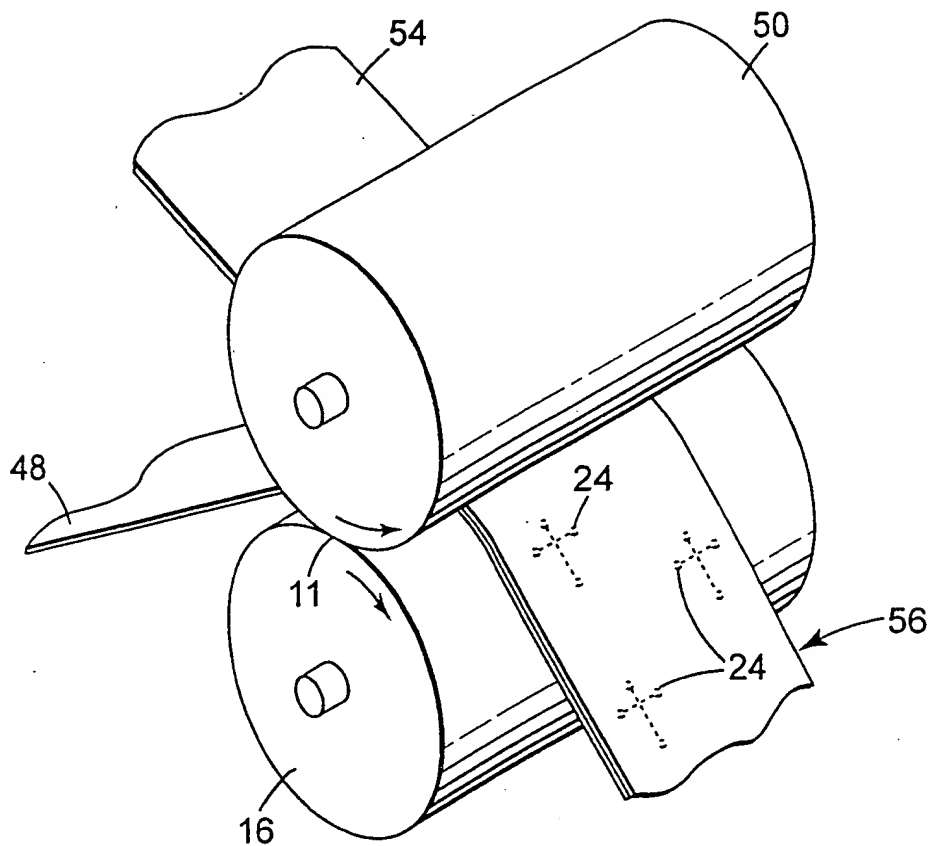
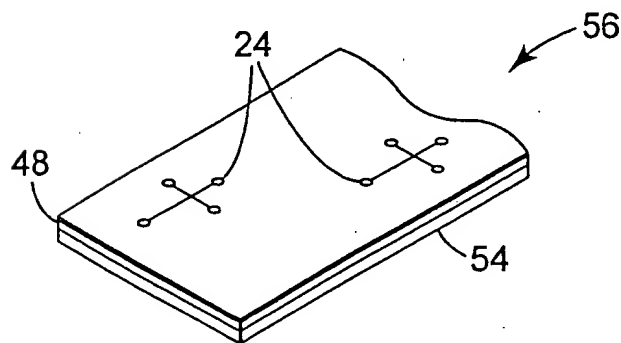
**Fig. 5**

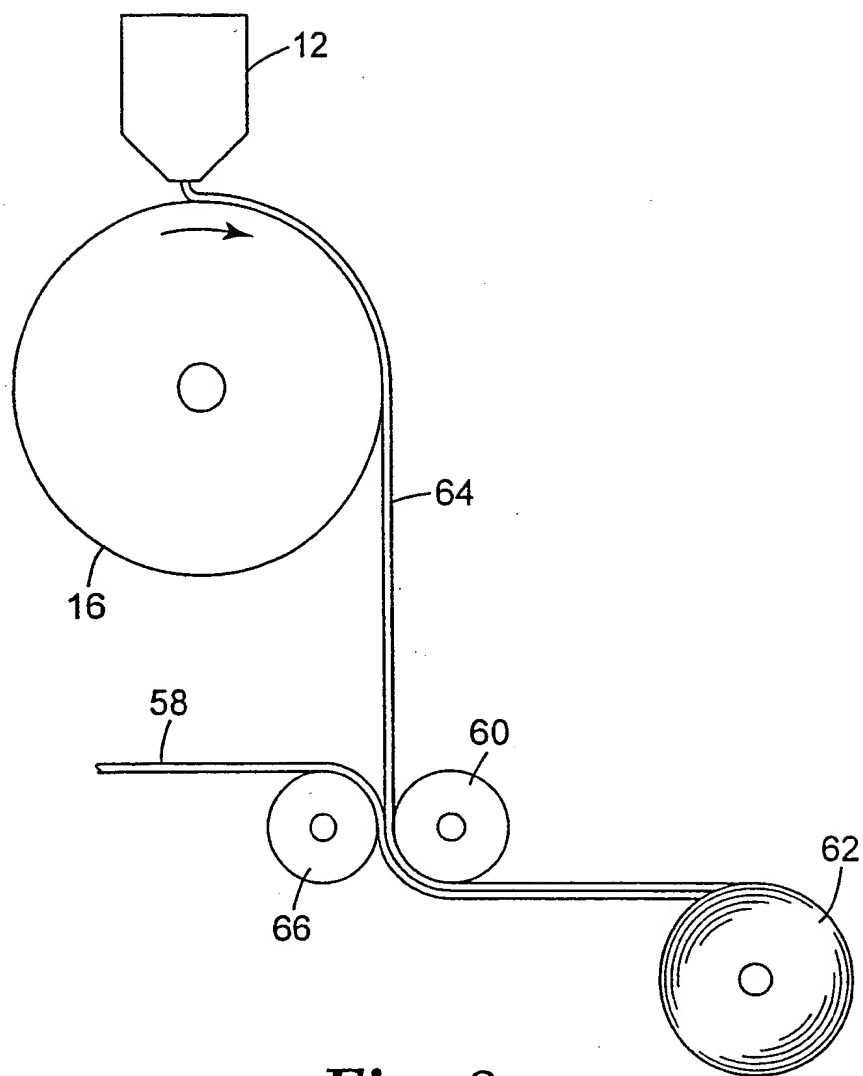


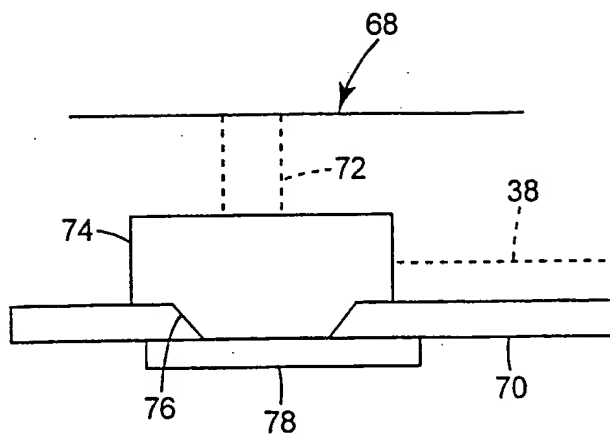
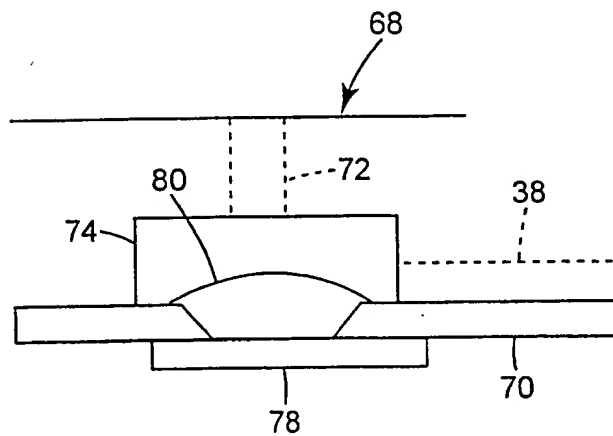
**Fig. 5a**

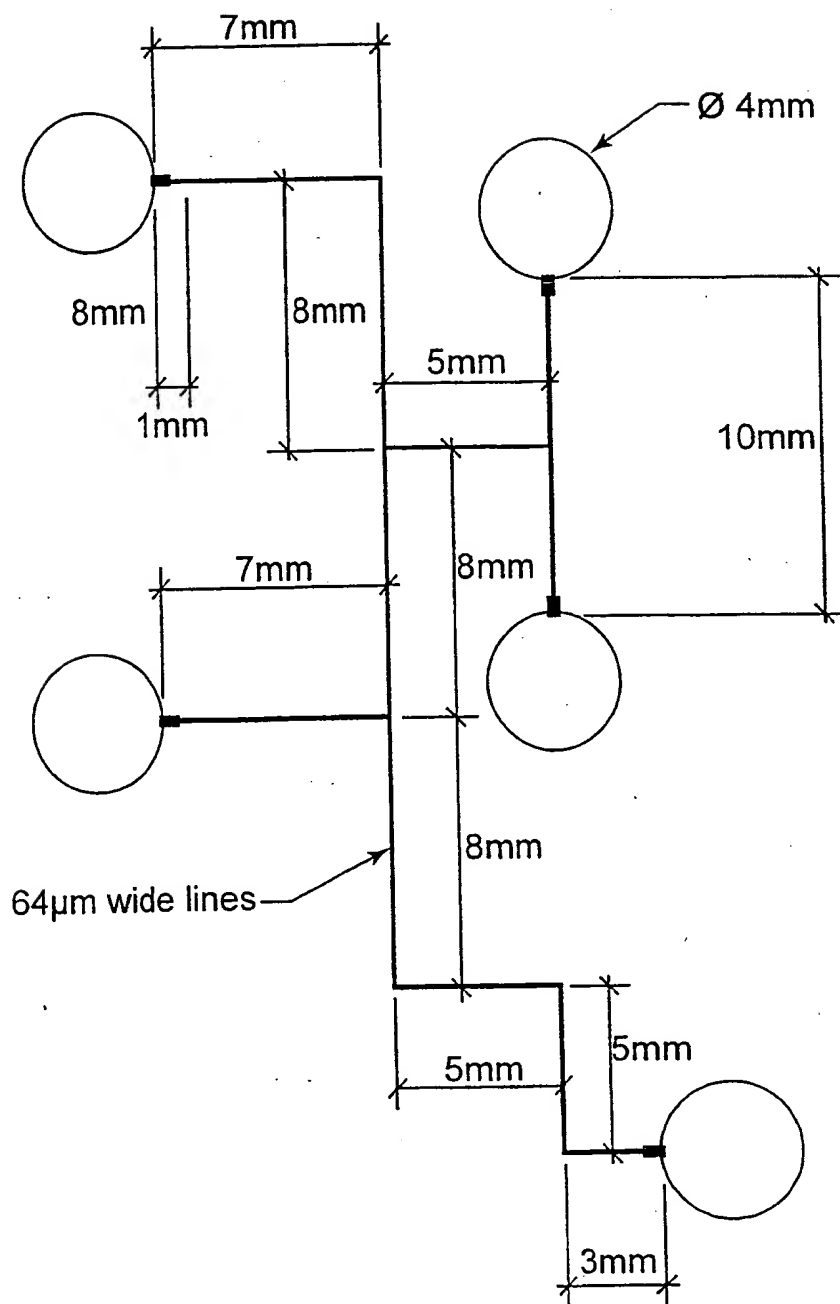
**Fig. 6****Fig. 6a**

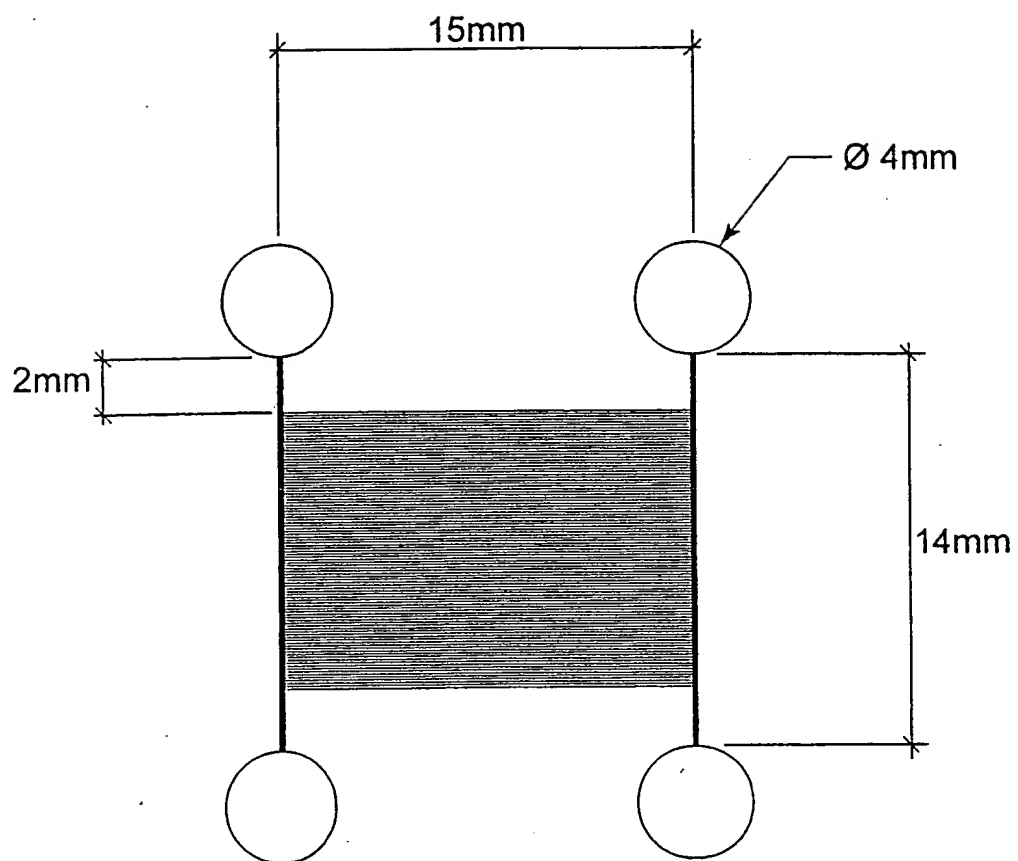


**Fig. 7****Fig. 7a**

*Fig. 8*

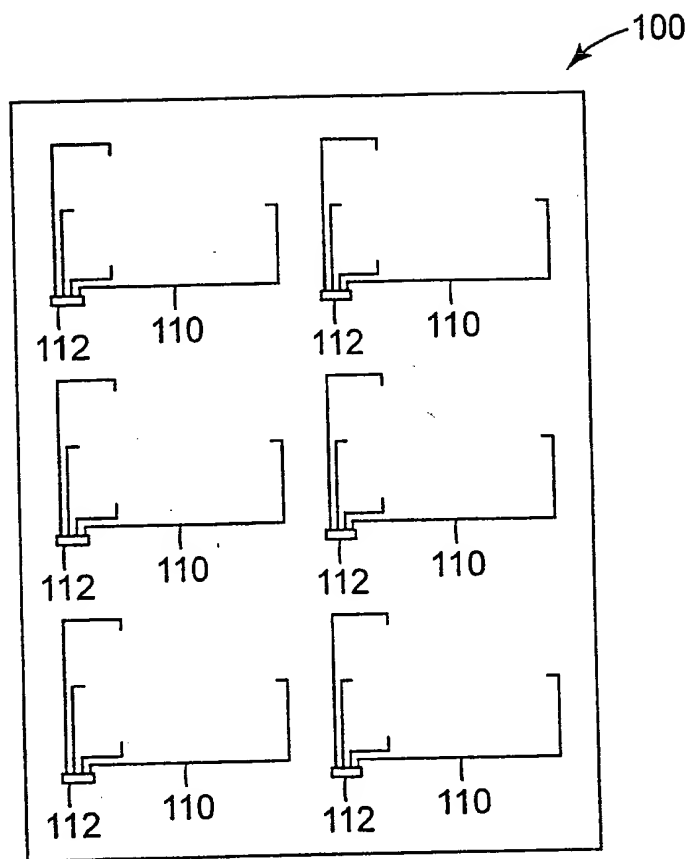
*Fig. 9a**Fig. 9b*

**Fig. 10a**

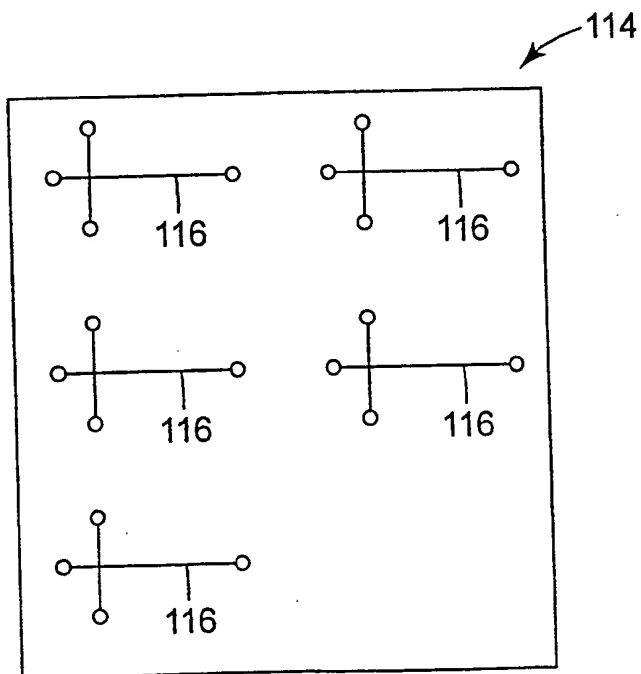


100  $64\mu\text{m}$  wide lines on  $100\mu\text{m}$  centers

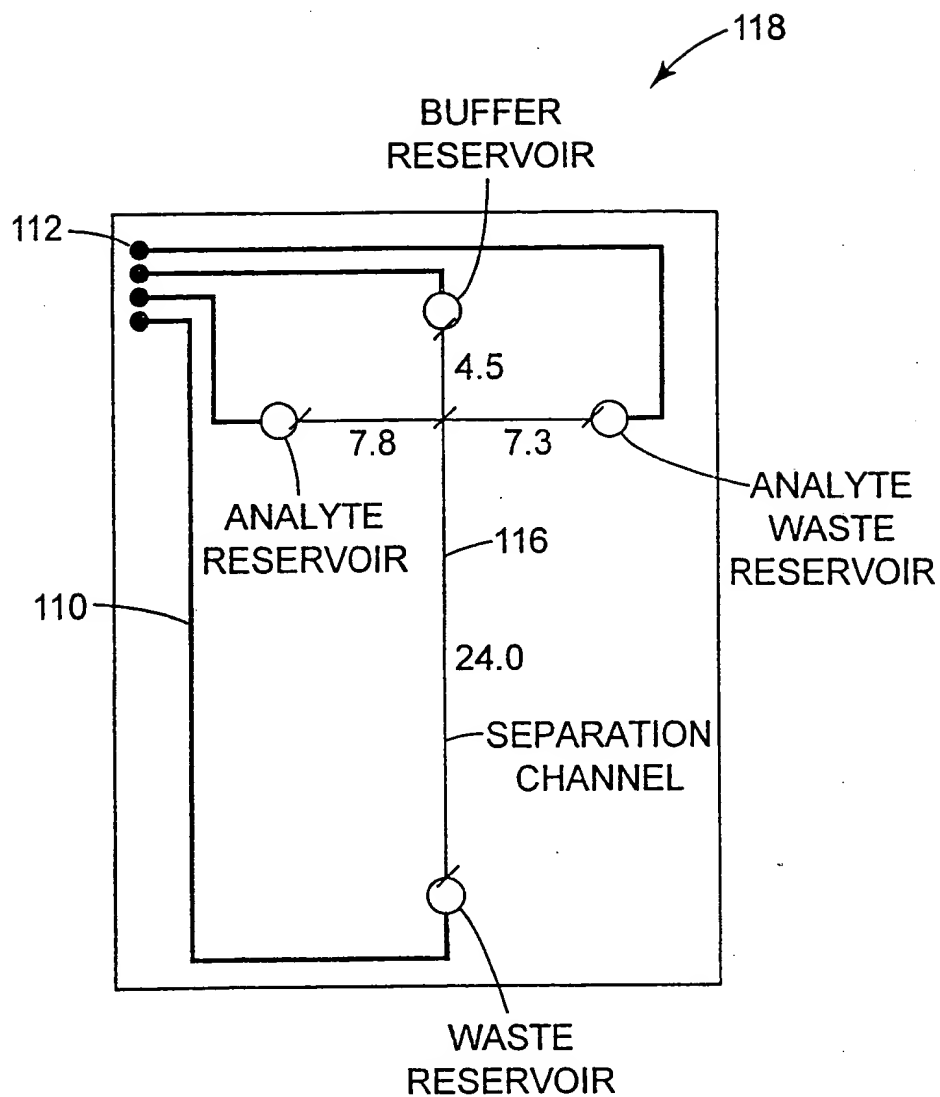
***Fig. 10b***



**Fig. 11a**



**Fig. 11b**



*Fig. 12*

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 99/11024

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 B29C59/04 B29C39/14 B29C43/22

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 B01L B29C G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 98 00231 A (BOUSSE LUC J ; CALIPER TECHN CORP (US); KOPF SILL ANNE R (US); PARC) 8 January 1998 (1998-01-08) page 22, line 16 - page 23, line 25; figures	1-6, 37, 39-42
Y	page 23, line 26 - line 30	38, 55
X	page 23, line 31 - page 24, line 5	41, 42
Y	page 32, line 11 - line 30	7-10, 19-21, 28, 29
Y	US 5 691 846 A (BENSON JR OLESTER ET AL) 25 November 1997 (1997-11-25) column 14, line 64 - column 16, line 34; figures 4, 5 column 23, line 10 - line 31 --- -/-	7, 8

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

2 September 1999

Date of mailing of the international search report

09/09/1999

Name and mailing address of the ISA  
European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Hocquet, A



## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 99/11024

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 4 601 861 A (ROBERTS WILLIAM N ET AL) 22 July 1986 (1986-07-22) column 4, line 41 - line 53	9
Y	column 6, line 42 - line 45 column 6, line 66 - column 7, line 31 ---	28,29
Y	US 4 913 858 A (BENOIT DENNIS R ET AL) 3 April 1990 (1990-04-03) column 4, line 56 - line 61; figure 1 column 7, line 26 - line 63; figures ---	10
Y	GB 1 338 579 A (ELEVITCH F R) 28 November 1973 (1973-11-28) page 5, line 50 - page 6, line 25; figures 7,8 ---	38,55
A	WO 98 24544 A (NANOGEN INC) 11 June 1998 (1998-06-11) page 9, line 3 - line 21	10-13, 15-18
Y	page 16, line 15 - line 17 page 17, line 10 - line 25; figure 8 ---	19
A	WO 97 02357 A (AFFYMETRIX INC ; ANDERSON ROLFE C (US); LIPSHUTZ ROBERT J (US); RAV) 23 January 1997 (1997-01-23) page 28, line 14 - line 24 page 35, line 31 - page 36, line 16	1-55
Y	page 37, line 13 - page 38, line 27 page 55, line 26 - line 34 page 56, line 9 - line 19 ---	20,21
X	US 5 376 252 A (OHMAN OVE ET AL) 27 December 1994 (1994-12-27) column 5, line 30 - line 47	1,7-9
X	column 6, line 38 - line 46 column 8, line 24 - line 33 column 8, line 42 - column 9, line 11; figure 8 ---	37
A	US 5 411 858 A (MCGEEHAN JOHN K ET AL) 2 May 1995 (1995-05-02) column 4, line 15 - line 60; figures 1-3 ---	1-55
X	US 4 413 407 A (COLUMBUS RICHARD L) 8 November 1983 (1983-11-08) column 7, line 25 - line 66 column 15, line 15 - line 46; claims 1-4; figure 21	1-3,6
X	column 15, line 22 - line 24 ---	38
	--- -/--	

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 99/11024

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>US 5 601 678 A (GERBER JOEL A ET AL)  11 February 1997 (1997-02-11)  cited in the application  column 3, line 62 - column 4, line 4  column 4, line 55 - column 5, line 6;  figure 7</p> <p>-----</p>	<p>39</p> <p>-----</p>

# INTERNATIONAL SEARCH REPORT

information on patent family members

International Application No

PCT/US 99/11024

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9800231 A	08-01-1998	AU 3499097 A EP 0907412 A	21-01-1998 14-04-1999
US 5691846 A	25-11-1997	US 5450235 A US 5614286 A AT 168787 T AU 681461 B AU 8122494 A CA 2172272 A CN 1133093 A,B DE 69411936 D DE 69411936 T EP 0724737 A ES 2119357 T JP 9504620 T WO 9511464 A AU 676619 B AU 7983494 A CA 2172636 A CN 1133635 A,B EP 0724731 A JP 9504622 T WO 9511466 A CA 2173232 A CN 1133636 A EP 0724734 A JP 9504624 T WO 9511468 A US 5632946 A	12-09-1995 25-03-1997 15-08-1998 28-08-1997 08-05-1995 27-04-1995 09-10-1996 27-08-1998 08-04-1999 07-08-1996 01-10-1998 06-05-1997 27-04-1995 13-03-1997 08-05-1995 27-04-1995 16-10-1996 07-08-1996 06-05-1997 27-04-1995 27-04-1995 16-10-1996 07-08-1996 06-05-1997 27-04-1995 27-05-1997
US 4601861 A	22-07-1986	US 4486363 A AU 557665 B AU 4423685 A BR 8503774 A CA 1241514 A EP 0171975 A JP 1819554 C JP 5017023 B JP 61047237 A MX 171098 B DE 3332461 A GB 2127344 A,B HK 49086 A JP 1729453 C JP 3043051 B JP 59140021 A MY 62686 A	04-12-1984 08-01-1987 27-02-1986 20-05-1986 06-09-1988 19-02-1986 27-01-1994 08-03-1993 07-03-1986 29-09-1993 05-04-1984 11-04-1984 04-07-1986 29-01-1993 01-07-1991 11-08-1984 31-12-1986
US 4913858 A	03-04-1990	AT 148654 T AU 602273 B AU 2610988 A CA 1324869 A CN 1034888 A,B DE 3855784 D DE 3855784 T EP 0339079 A HK 130997 A MX 173653 B	15-02-1997 04-10-1990 23-05-1989 07-12-1993 23-08-1989 20-03-1997 12-06-1997 02-11-1989 26-09-1997 22-03-1994

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 99/11024

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 4913858 A		PT 88840 A	31-07-1989
		WO 8903760 A	05-05-1989
		US 5155604 A	13-10-1992
		US 5164227 A	17-11-1992
GB 1338579 A	28-11-1973	CA 941280 A	05-02-1974
		DE 2056049 A	03-06-1971
		FR 2069512 A	03-09-1971
		GB 1338580 A	28-11-1973
		US 3635808 A	18-01-1972
		US 3767560 A	23-10-1973
WO 9824544 A	11-06-1998	AU 5367198 A	29-06-1998
WO 9702357 A	23-01-1997	US 5856174 A	05-01-1999
		AU 6404996 A	05-02-1997
		EP 0843734 A	27-05-1998
		US 5922591 A	13-07-1999
US 5376252 A	27-12-1994	SE 470347 B	31-01-1994
		AT 130528 T	15-12-1995
		DE 69114838 D	04-01-1996
		DE 69114838 T	05-06-1996
		EP 0527905 A	24-02-1993
		SE 9001699 A	11-11-1991
		WO 9116966 A	14-11-1991
US 5411858 A	02-05-1995	US 5234813 A	10-08-1993
		US 5087556 A	11-02-1992
		AT 175026 T	15-01-1999
		AU 3476493 A	01-09-1993
		CA 2128517 A	05-08-1993
		DE 69322781 D	04-02-1999
		DE 69322781 T	26-08-1999
		EP 0643837 A	22-03-1995
		WO 9315404 A	05-08-1993
		AT 181517 T	15-07-1999
		AU 669669 B	20-06-1996
		AU 2547392 A	16-03-1993
		CA 2115672 A	04-03-1993
		DE 69229478 D	29-07-1999
		EP 0607170 A	27-07-1994
		FI 940911 A	25-02-1994
		JP 6509979 T	10-11-1994
		NO 940645 A	24-02-1994
		WO 9303842 A	04-03-1993
US 4413407 A	08-11-1983	US 4302313 A	24-11-1981
		AT 4433 T	15-08-1983
		EP 0023157 A	28-01-1981
		JP 1028331 B	02-06-1989
		JP 56500904 T	02-07-1981
		WO 8100302 A	05-02-1981
US 5601678 A	11-02-1997	US 5401913 A	28-03-1995
		CA 2162499 A	22-12-1994
		CN 1125998 A	03-07-1996
		EP 0702847 A	27-03-1996

## INTERNATIONAL SEARCH REPORT

**Information on patent family members**

Internal Application No

PCT/US 99/11024

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5601678 A		JP 8510868 T	12-11-1996
		WO 9429897 A	22-12-1994
<hr/>			

THIS PAGE BLANK (USPTO)